

# TRIBUTE TRADING AND FINANCE LIMITED

CIN - L35991WB1986PLC139129

Regd. Office : 3, Bentinck Street, 2nd Floor, Kolkata - 700 001  
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Date:11.10.2021

To  
The Secretary  
The Calcutta Stock Exchange Ltd.  
7, Lyons Range  
Kolkata - 700 001

Scrip Code: 10030286  
ISIN No: INE951L01013

Sub: Corporate Governance Report for quarter ended September 30, 2021

Dear Sir/Madam,

Please find enclosed Quarterly Compliance Report on Corporate Governance under Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended 30<sup>th</sup> September, 2021.

Thanking you.

Yours Faithfully,  
For Tribute Trading and Finance Limited

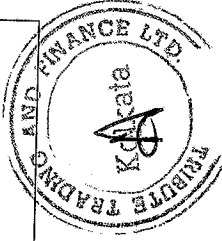
*Abhishek Lohia*

Abhishek Lohia  
Company Secretary



General information about company

Scrip code	10030286
NSE Symbol	N.A.
MSEI Symbol	N.A.
ISIN	INE951L01013
Name of the entity	TRIBUTE TRADING AND FINANCE LIMITED
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Half Yearly
Date of Report	30-09-2021
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other



**Annexure I**

**Annexure I to be submitted by listed entity on quarterly basis**

**I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory

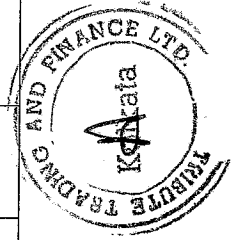
Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

Yes

Sl	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	MANOJ SETHIA	AKLPS7110A	00585491	Non-Executive - Independent Director	Chairperson		07-05-1970	NA		07-05-2009	30-09-2020		3	0	5	3			
2	Mrs	SANGBETA SETHIA	AIRPS3408D	00585682	Executive Director	Not Applicable	MD	10-10-1970	NA		01-12-2014	01-10-2016		2	0	1	0			
3	Mr	MALI CHAND AGARWALA	ACZPA0551B	00772091	Non-Executive - Independent Director	Not Applicable		01-01-1968	NA	30-05-2018	29-09-2018			3	3	6	1			
4	Mr	RAJESH SHAH	ALSPS6326C	06746791	Non-Executive - Independent Director	Not Applicable		06-12-1962	NA	01-04-2020	30-09-2020			3	3	3	3			

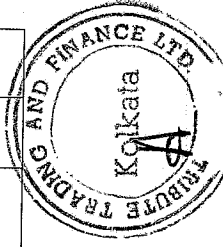


### I. Composition of Board of Directors

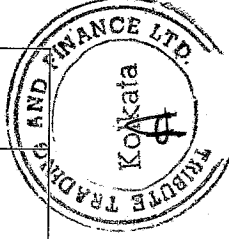
Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of Directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	MUKESH AGARWAL	APUPA4738C	08836416	Non-Executive - Independent Director	Not Applicable		13-06-1991	NA		01-04-2020	30-09-2020		60	2	2	0	0		
6	Mrs	ANUPAMA MADHOGARHIA	ANFPB5203C	06674373	Non-Executive - Independent Director	Not Applicable		02-10-1989	NA		10-07-2020	30-09-2020		60	2	2	0	1		



Audit Committee Details						
Sr	DIN Number	Name of Committee members	Whether the Audit Committee has a Regular Chairperson			Remarks
			Category 1 of directors	Category 2 of directors	Yes	
1	06746791	RAJESH SHAH	Non-Executive - Independent Director	Chairperson	01-04-2020	
2	00772091	MALI CHAND AGARWALA	Non-Executive - Independent Director	Member	01-09-2018	
3	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Member	04-05-2011	



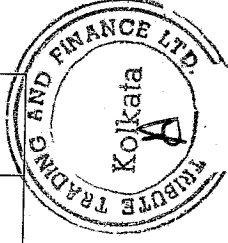
**Nomination and remuneration committee**

Sr	DIN Number	Name of Committee members	Whether the Nomination and remuneration committee has a Regular Chairperson		Date of Appointment	Date of Cessation	Remarks
			Category 1 of directors	Category 2 of directors			
1	06674373	ANUPAMA MADHOGARHIA	Non-Executive - Independent Director	Chairperson	10-07-2020		
2	00772091	MALI CHAND AGARWALA	Non-Executive - Independent Director	Member	01-09-2018		
3	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Member	13-02-2014		



**Stakeholders Relationship Committee**

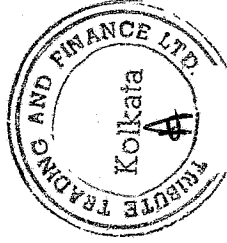
Sr	DIN Number	Name of Committee members	Whether the Stakeholders Relationship Committee has a Regular Chairperson		Date of Appointment	Date of Cessation	Remarks
			Category 1 of directors	Category 2 of directors			
1	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Chairperson	14-05-2011		
2	00585682	SANGEETA SETHIA	Executive Director	Member	15-11-2017		
3	06746791	RAJESH SHAH	Non-Executive - Independent Director	Member	01-04-2020		



**Risk Management Committee**

Whether the Risk Management Committee has a Regular Chairperson

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
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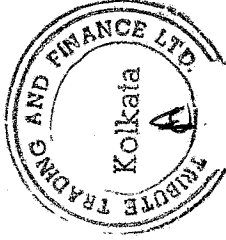




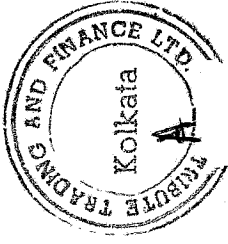
**Corporate Social Responsibility Committee**

Whether the Corporate Social Responsibility Committee has a Regular Chairperson

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
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Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

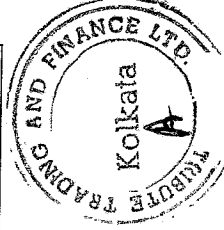


**Annexure 1**

**Annexure 1**

**III. Meeting of Board of Directors**

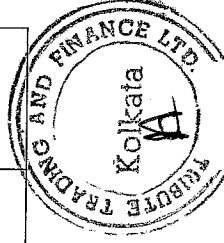
Sr	Disclosure of notes on meeting of board of directors explanatory		Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (other than Independent Director)	No. of Independent Directors attending the meeting*
	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter				
1	16-06-2021			Yes	2	4
2	30-06-2021		13	Yes	2	4
3		13-08-2021	43	Yes	2	4
4		03-09-2021	20	Yes	2	4



**Annexure 1**

**IV. Meeting of Committees**

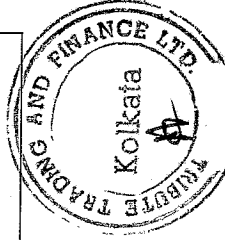
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Disclosure of notes on meeting of committees explanatory				Number of Directors present* (other than Independent Director)	No. of Independent Directors attending the meeting*
			Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)		
1	Audit Committee	30-06-2021				1	2	
2	Audit Committee	13-08-2021	43			1	2	
3	Nomination and remuneration committee	03-09-2021				1	2	



**Annexure 1**

**V. Related Party Transactions**

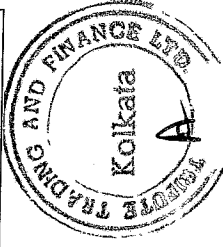
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	



**Annexure 1**

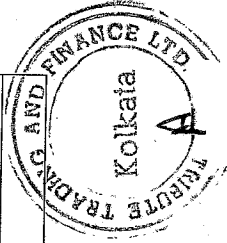
**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015: c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes



**Annexure 1**

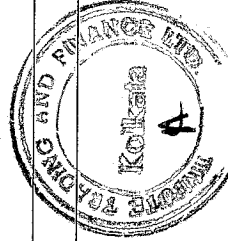
Sr	Subject	Compliance status
1	Name of signatory	ABHISHEK LOHIA
2	Designation	Company Secretary and Compliance Officer



### Annexure III

#### III. Affirmations

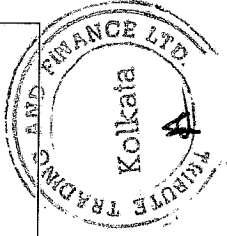
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				





**Annexure III**

1	Name of signatory	ABHISHEK LOHIA
2	Designation	Company Secretary and Compliance Officer



### Additional Half yearly Disclosure

Applicability of disclosure	Applicable
Reason for Non Applicability	Textual Information(1)

#### I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

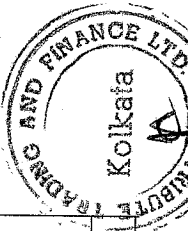
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0
Promoter Group or any other entity controlled by them	0	0
Directors (including relatives) or any other entity controlled by them	800000	6600000
KMPs or any other entity controlled by them	0	0

#### (B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt-availed-By

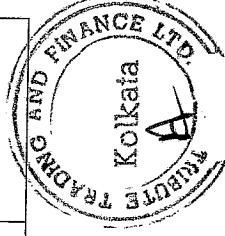
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0

#### (C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt-availed by

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0	0



Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(D) Additional Information	Textual Information(2)		
<b>II. Affirmations</b>			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.		Yes	Textual Information(3)
Name	DARSHAN JAIN		
Designation	CFO		
Place	KOLKATA		
Date	11-10-2021		



**Signatory Details**

Name of signatory	ABHISHEK LOHIA
Designation of person	Company Secretary and Compliance Officer
Place	KOLKATA
Date	11-10-2021

