



Agarwal Priti & Associates

Practising Company Secretary

24, N.S. Road, 4th Floor, Kolkata – 700 001.

Ph: 9830710776, Email: pritiagarwala@gmail.com

To
The Board of Directors,
TRIBUTE TRADING AND FINANCE LTD.
3, Bentinck Street, 2nd Floor,
Kolkata - 700 001

RECONCILIATION OF SHARE CAPITAL AUDIT

We have examined the Register of Members, beneficiary details furnished by the Depositories and other records/documents maintained by M/s. Tribute Trading and Finance Ltd. (the Company) for issuing this Certificate, in accordance with Circular No. D & CC/ FITTC/CIR-16/2002 dated December 31, 2002 issued by the Securities and Exchange Board of India.

In our opinion and to the best of our knowledge and according to the information and explanations given to us and based on such verifications considered necessary. We hereby certify the following :

| | | | | | |
|---|--|------------------|---------------------------|-----------|-------|
| 1 For Quarter Ended | 30.09.2019 | | | | |
| 2 ISIN | INE951L01013 | | | | |
| 3 Face Value | Rs. 10/- Per Equity Share | | | | |
| 4 Name of the Company | TRIBUTE TRADING AND FINANCE LIMITED | | | | |
| 5 Registered Office Address | 3, Bentinck Street 2nd Floor Kolkata - 700 001 | | | | |
| 6 Correspondence Address | Same as Registered Office Address | | | | |
| 7 Telephone & Fax Nos. | Tel No: (033) 22100875 | | | | |
| 8 E Mail Address | ttfild@gmail.com | | | | |
| 9 Name of the Stock Exchange where the Company's securities are listed | THE CALCUTTA STOCK EXCHANGE LTD. | | | | |
| 10 Issued Capital | <table><tr><td>Number of Shares</td><td>% of Total Issued Capital</td></tr><tr><td>60,00,000</td><td>100</td></tr></table> | Number of Shares | % of Total Issued Capital | 60,00,000 | 100 |
| Number of Shares | % of Total Issued Capital | | | | |
| 60,00,000 | 100 | | | | |
| 11 Listed Capital (exchange wise) - (as per Company records) : | <table><tr><td>Number of Shares</td><td>% of Total Issued Capital</td></tr><tr><td>60,00,000</td><td>100</td></tr></table> | Number of Shares | % of Total Issued Capital | 60,00,000 | 100 |
| Number of Shares | % of Total Issued Capital | | | | |
| 60,00,000 | 100 | | | | |
| 12 Held In Dematerialised form in CDSL | <table><tr><td>Number of Shares</td><td>% of Total Issued Capital</td></tr><tr><td>2,39,000</td><td>3.98</td></tr></table> | Number of Shares | % of Total Issued Capital | 2,39,000 | 3.98 |
| Number of Shares | % of Total Issued Capital | | | | |
| 2,39,000 | 3.98 | | | | |
| 13 Held In Dematerialised form in NSDL | <table><tr><td>Number of Shares</td><td>% of Total Issued Capital</td></tr><tr><td>20,29,200</td><td>33.82</td></tr></table> | Number of Shares | % of Total Issued Capital | 20,29,200 | 33.82 |
| Number of Shares | % of Total Issued Capital | | | | |
| 20,29,200 | 33.82 | | | | |
| 14 Physical | <table><tr><td>Number of Shares</td><td>% of Total Issued Capital</td></tr><tr><td>37,31,800</td><td>62.20</td></tr></table> | Number of Shares | % of Total Issued Capital | 37,31,800 | 62.20 |
| Number of Shares | % of Total Issued Capital | | | | |
| 37,31,800 | 62.20 | | | | |
| 15 Total No. of Shares(12+13+14) : | 60,00,000 | | | | |
| 16 Reasons for differences, if any, between(10 &11), (10 & 15), (11&15) : | N. A. | | | | |

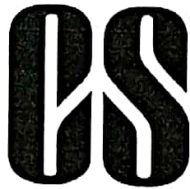
17 Certifying the details of changes in share capital during the quarter under consideration as per Table Below:

| Particulars * | No of Shares | Applied/Not applied for Listing | Listed on Stock Exch. specify name | Wheather intimated to CSDL | Wheather intimated to NSDL | In-prin appr pending for SE (Names) |
|---------------|--------------|---------------------------------|------------------------------------|----------------------------|----------------------------|--------------------------------------|
| N. A. | N. A. | N. A. | N. A. | N. A. | N. A. | N. A. |

* Rights, Bonus, Preferential Issue, ESOP's, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify).

| | |
|---|------|
| 18 Register of members updated (Yes/No) if not, updated upto which date | Yes |
| 19 Refrences of Previous quarter with regards to excess dematerialised shares, if any | NIL |
| 20 Has the company resolved the matter mentioned in point no. 19 and above in the current quarter? If not reason why? | N.A. |





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Practising Company Secretary

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21 Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reason for delay: (As per RTA Certificate)

| Total nos. of Demat requests confirmed after 21 days | No. of Requests | No. of Shares | Reasons for delay |
|--|-----------------|---------------|-------------------|
| Pending for more than 21 days | Nil | Nil | - |

22 Name, Telephone & Fax no. of the Compliance Officer of the company

MALTI JAISWAL
COMPANY SECRETARY
Tel No. +033-22100875

23 Name, Address, Telephone & Fax No. of the Auditor of the Company :

Agarwal Priti and Associates, (ACS No.- 26513 and C. P. No.- 9937)
Proprietor : Priti Agarwal (Practicing Company Secretary)
24, N. S. Road, 4th Floor
Kolkata-700001
Mobile: +91 9830710776

24 Appointment of common agency for shares registry work(If yes, name & address;)

ABS Consultant Pvt. Ltd.
Stephen House' Room No. 99,
6th Floor, 4, B. B. D. Bagh (East),
Kolkata - 700 001

25 Any other details that the Auditor may like to provide (eg.: BIFR company, delisting from SE, company changes its name etc.)

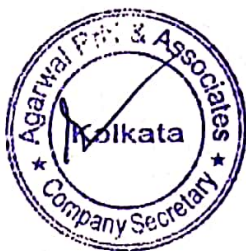
NIL

Place : Kolkata
Dated : 15.10.2019

For Agarwal Priti & Associates

Priti Agarwal

Priti Agarwal
Proprietor
Practicing Company Secretary
ACS No.-26513, C.P. No: 9937





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ABS CONSULTANT PVT. LTD.

SEBI AUTHORISED CATEGORY -1 REGISTRARS & SHARE
TRANSFER AGENT WITH NSDL & CDSL CONNECTIVITY

October 3, 2019

To
TRIBUTE TRADING AND FINANCE LTD.
3, BENTINCK STREET
2ND FLOOR
KOLKATA - 700001

Isin No. INE951L01013

Dear Sir,

Re.: Reconciliation of Capital

We hereby confirm that as per Physical Master of your company the total Number of Shares held under NSDL Folio, CDSL Folio and by the Physical Shareholders as on September 30, 2019 are as under:

| | No. of Shares |
|-----------------|---------------|
| | ----- |
| NSDL FOLIO | 20,29,200 |
| CDSL FOLIO | 2,39,000 |
| PHYSICAL HOLDER | 37,31,800 |
| | ----- |
| Total | 60,00,000 |
| | ===== |

Note :

- 1). Report relating to dematerialisation request(s) processed after 21 days and pending for more than 21 days from the date of receipt by us - ~~Enclosed~~ / Nil
- 2). Print Copy of Distribution of Holding as on September 30, 2019 - Enclosed / ~~Not Enclosed~~.
- 3). Investor's Complaints (other than relating to payment of Dividend)
 - i) Pending at the beginning of the Quarter _____
 - ii) Received during the Quarter _____
 - iii) Resolved during the Quarter _____
 - iv) Outstanding at the end of the Quarter _____

Thanking you

Yours faithfully,
For ABS CONSULTANT PVT.LTD.

Authorised Signatory