| Name of the Company: TRIBUTE T | RADING AND FINANCE LTD. | | |
|---|--------------------------------|---|---|
| Scrip Code, Name of the scrip, clas | s of security: 10030286, TRIBU | TE TRADING AND FINANCE LT | D., EQUITY SHARES |
| Quarter Ended 31st December, 201 | 3 | | |
| Partly paid-up shares:- | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the company |
| Held by promoter/promoter group | l NIL | NIL | NIL |
| held by public | NIL | NIL | NIL |
| Total - D | NIL | NIL | NIL |
| Outstanding convertible securities:- | No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
| Held by promoter/promoter group | l NIL | l NIL | NIL |
| Held by public | NIL | NIL | NIL |
| Total - E | NIL | NIL | NIL |
| Narrants:- | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of warrants |
| Held by promoter/promoter group | l NIL | l NIL | l NIL |
| Held by public | NIL | NIL | NIL |
| Total - F | NIL | NIL | NIL |
| otal paid-up capital of the company, assuming full conversion of warrants and convertible securities | 60,00,000 Equity Shares of Rs. | 10.00 each,fully paid-up | |



| | | Iab | ole (I)(a) | | | | |
|--|---|---|--|--|---|---|--|
| ry of older | - 1.000 | | | ge of total of shares | Shares Pledged or otherwise encumbered Number of As a percentage | | |
| | | | | As a percentage of (A+B) ¹ | As a percentage of (A+B+C) | Number of shares | |
| (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX)= (VIII)/(IV)*100 |
| olding of Promoter and | | | | | | | |
| | | | | | | | |
| als/ Hindu Undivided Family | 1 | 50000 | 50000 | 0.83 | 0.83 | 0.00 | 0.00 |
| Government/ State | | | | | | | |
| ment(s) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Corporate | 1 | 1100000 | 1100000 | 18.33 | 18.33 | 0.00 | 0.00 |
| al Institutions/ Banks | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| ners(Specify) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| n millioni | 0.00 | 0.00 | 0.00 | | | | |
| tal(A)(1) | 2 | 1150000 | 1150000 | 19.17 | 19.17 | 0.00 | 0.00 |
| 714 | | | * | | | - | |
| nals (Non-Residents | | | | | | | |
| Individuals) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Corporate | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| ons | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| hers(Specify) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| otal(A)(2) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Shareholding of Promoter | | | | | | - | |
| oter Group (A)= | 2 | 1150000 | 1150000 | 19.17 | 19.17 | 0.00 | 0.00 |
| shareholding | | 1130000 | 1130000 | 19.17 | 19.17 | 0.00 | 0.00 |
| tions | | - | | | | - 1 | 1 1 1 1 1 1 1 1 1 |
| Funds/ UTI | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| al Institutions Banks | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Government/ State ment(s) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| e Capital Funds | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| ce Companies | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Institutional Investors | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Venture Capital Investors | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| ther (specify) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| otal (B)(1) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| stitutions | | | | | | | |
| Corporate | 29 | 985600 | 600 | 16.43 | 16.43 | 0.00 | 0.00 |
| | | | | | | | |
| uals -i. Individual shareholder gnominal share capital up to F | Rs . | | | | | | |
| | 309 | 65620 | 9820 | 1.09 | 1.09 | 0.00 | 0.00 |
| share capital in excess of | | | | | | | |
| | 164 | 3798780 | 142780 | 63.31 | 63.31 | 0.00 | 0.00 |
| ther (specify) | 0.00 | 0.00 | and 5.0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Corpora uals -i. I uals -i. I ual | Individual shareholder al share capital up to F nareholders holding capital in excess of | Individual shareholders all share capital up to Rs 309 mareholders holding a capital in excess of | ate 29 985600 Individual shareholders all share capital up to Rs Discrete and the shareholders are capital in excess of the shareholders holding | ate 29 985600 600 Individual shareholders all share capital up to Rs District Share capital up to Rs District Shareholders holding acapital in excess of 164 3798780 142780 (2015) (2 | 1 And 29 985600 600 16.43 Individual shareholders all share capital up to Rs 309 65620 9820 1.09 Pareholders holding a capital in excess of 164 3798780 142780 63.31 | 16.43 | ate 29 985600 600 16.43 16.43 0.00 Individual shareholders all share capital up to Rs 309 65620 9820 1.09 1.09 0.00 Dareholders holding capital in excess of 164 3798780 142780 63.31 63.31 0.00 Secify 0.00 0.00 0.00 0.00 0.00 |

| | GRAND TOTAL (A)+(B)+(C) | 504 | 6000000 | 1303200 | 100.00 | 100.00 | 0.00 | 0.00 |
|-------|--|------|---------|---------|--------|--------|------|------|
| | Sub-Total (C) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 2 | Public | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 1 | Promoter and Promoter Group | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | | | | | | | |
| | TOTAL (A)+(B) | 504 | 6000000 | 1303200 | 100.00 | 100.00 | 0.00 | 0.00 |
| (B) | Total Public Shareholding (B)= (B)(1)+(B)(2) | 502 | 4850000 | 153200 | 80.83 | 80.83 | 0.00 | 0.00 |
| | Sub-Total (B)(2) | 502 | 4850000 | 153200 | 80.83 | 80.83 | 0.00 | 0.00 |
| c-ii) | | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (c-i) | | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |



| Sr.No | Notes |
|-------|---|
| 1 | |
| 2 | For determining public shareholding for the purpose of Clause 40A For definitions of Promoter and Promoter Group, refer to Clause 40A. |
| | Public shareholding |
| | |
| | |
| | |
| | |
| | |
| | |



(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. | Name of the | Details | of Shares held | | Encumbered sha | res (*) | Details o | of warrants | convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|-----|-----------------------------------|---------------------|--------------------------|-----|--------------------|------------------------------|---------------|--------------|---------------------------|----------------------|--|
| | | Number of shares | As a % of grand total | No. | As a percentage | As a % of grand total | Numbe r of | As a % total | Number of | As a % total | a di estimata e |
| | | held | (A) +(B) +(C) | | | (A)+(B)+(C) of sub-clause | warrant | | | | |
| | | | | | | (I)(a) | held | of the | securitie | e | |
| | | | | | | | | same | s held | securities of the | |
| | | | | | | | | Class | neid | same | |
| No. | shareholder | | | - | | | | | | class | |
| (1) | (11) | (111) | (IV) | (V) | (VI)=(V)/(III)*100 | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1 | MANOJ SETHIA | 50000 | 0.83 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 2 | JAIN COMMODITY BROKING PVT. | 1100000 | 18.33 | | | | | | | | 18.33 |
| TOT | | 1150000 | 19.17 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 19.17 |

^(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.



(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder | Number | Shares as a | Details o | f warrants | | convertible | Total shares |
|---------|-------------------------|-----------|---------------------|-----------|------------|------------|-------------|-------------------|
| | | of shares | percentage of total | | | secu | ırities | (including |
| | | held | number of shares | | | | | underlying shares |
| | | | {i.e., Grand Total | | | | | assuming full |
| | | | (A)+(B)+(C) | | | | | conversion of |
| | | | indicated in | Number | As a % | Number | % w.r.t | warrants and |
| | | | Statement at para | of | total | of | total | convertible |
| | | | (I)(a) above} | warrant | number of | 100 | number of | securities) |
| | | | | S | warrants | e | convertible | as a % of diluted |
| | | | | held | of | securities | securities | share |
| | | | | neid | the same | held | of | capital |
| | | | | | class | nora. | the same | |
| | | | | | Class | | class | |
| | | | | | | | | |
| 1 | <u> </u> | | | | | | | |
| 2 | | | | | | | | |
| 3 | . / | | | | | | | 1018 1110 1 17 |
| 4 | | | | | | | | |
| 5 | A | | | | | | | |
| 6 | N | | | | | | | |
| 7 | / | | | | | | | |
| 8 | | | | | | | | |
| 9 | | | | | | | | |
| 10 | | | | | | | | |
| | | | | | | | | |
| | / | | | | | | | |
| TOTAL | L | 0.00 | 8.00 | 0.00 | 0.00 | 0.00 | 0.00 | 9.90 |



(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) | Details | of warrants | | convertible rities | Total shares (including underlying shares assuming full conversion of |
|---------|---|---------------------|---|--------------------------|---|--|--|--|
| | | | indicated in Statement at para (I)(a) above} | Number of warrants | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class | warrants and convertible securities) as a % of diluted share capital |
| 1 | / | | | | | | | |
| 2 | | | | | | | | |
| 3 | | | | | | | | |
| 4 | | | | | | | | |
| 5 | A | | | | | | | |
| 6 | N | | | | | | | |
| 7 | / | | | | | | | |
| 8 | | | | | | | | |
| 9 | | | | | | | | |
| 10 | | | | | | | The selection of the se | Principal designation of the principal design |
| | | | | | | | | |
| | / | | | | | | | |
| TOTAL | | 0.00 | 0.80 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |



(l)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder | | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|----------------------------------|---------|---|
| (1) | Promoter: | | |
| | JAIN COMMODITY BROKING PVT. LTD. | 1100000 | 18.33 |
| | Sub Total (1) | 1100000 | 18.33 |
| (2) | Non-Promoter: | | |
| | Nil | 0.00 | 0.00 |
| | Sub Total (2) | 0.00 | 0.00 |
| OTAL | (1) + (2) | 1100000 | 18.33 |



(II)(a) Statement showing details of Depository Receipts (DRs)

| | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|-------|---|------------------------------|--|---|
| 1 | | | | |
| 2 | / | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | A | | | |
| 7 | N | | | |
| 8 | | | | |
| 9 | | | | |
| | | | | |
| TOTAL | | 0.00 | 0.00 | 0.00 |



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

| Sr. No. | Name of the DR Holder | Type of outstanding DR | Number of shares | Shares underlying outstanding DRs as a |
|---------|-----------------------|------------------------|------------------------|---|
| | | (ADRs, GDRs, SDRs, | underlying outstanding | percentage of total number of shares {i.e., |
| | | etc.) | DRs | Grand Total (A)+(B)+(C) indicated in |
| | | | | Statement at para (I)(a) above} |
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | A | | | |
| 7 | N | | | |
| 8 | | | | |
| 9 | | | | |
| | | | | |
| | / | | | |
| TOTAL | , | 0.00 | 0.00 | 0.00 |

